

EXPLORING THREE-VALUED MODELS for IDENTITY

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MY PLAN

1. Traditional LP Models for Identity
2. LP, K3, ST... & Classical logic
3. The 'Weakest' Rules for Identity
4. Example Three-Valued Models
5. Strengthening the rules.

1. Traditional CP Models for Identity

$A \wedge B$ is TRUE iff A is TRUE & B is TRUE

$A \wedge B$ is FALSE iff A is FALSE & B is FALSE

$\neg A$ is TRUE iff A is FALSE

$\forall x A(x)$ is TRUE iff $A(d)$ is TRUE for every $d \in D$

$\forall x A(x)$ is FALSE iff $A(d)$ is FALSE for some $d \in D$

$$[\![P]\!] = (P^+, P^-) \text{ where } P^+ \cup P^- = D^n$$

extension anti-extension

$P t_1 \dots t_n$ is TRUE iff $\langle [\![t_1]\!], \dots, [\![t_n]\!] \rangle \in P^+$

$P t_1 \dots t_n$ is FALSE iff $\langle [\![t_1]\!], \dots, [\![t_n]\!] \rangle \in P^-$

$$X \models_{\text{LP}} Y$$

iff whenever each member of X
is $\overline{\text{TRUE}}$, some member of Y is $\overline{\text{TRUE}}$.

NEAT FACT: $\models_{\text{LP}} Y$ iff $\models_{\text{CL}} Y$

The final part of first order machinery, identity, can be simply accommodated. We merely take ' $=$ ' to be a particular two-place predicate such that

$$d^+(=) = \{\langle x, x \rangle \mid x \in D\}.$$

$d^- (=)$ is arbitrary, except that $d^+ (=) \cup d^- (=) = D^2$. (There may be philosophical arguments for placing other constraints on $d^- (=)$, but they need not concern us here.) We can now state the final Fact.

Graham Priest, *In Contradiction*, §5.4

$$[\![=]\!] = (\text{id}_D, N)$$

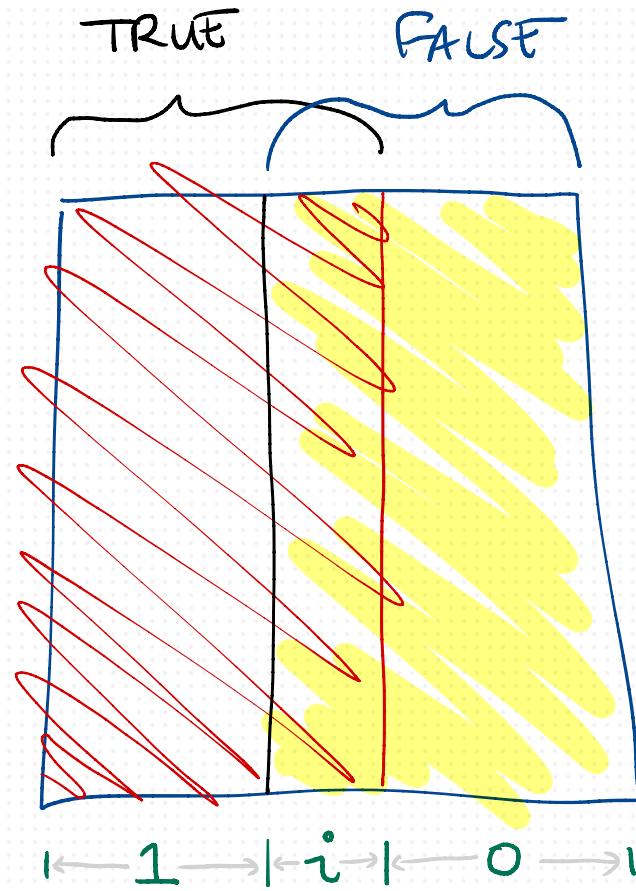
$$\text{id}_D = \{\langle x, x \rangle \mid x \in D\}$$

$$\text{id}_D \cup N = D^2$$

This Seems pretty Constrained

but it does have the virtue of making
LP - Valid every validity of classical
first-order logic with identity.

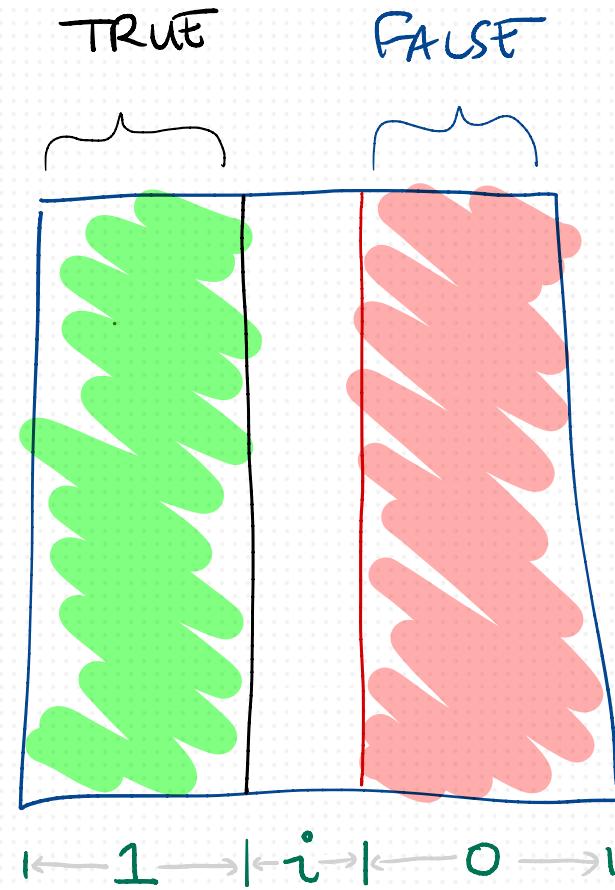
2. LP, K3, ST... \notin Classical logic



$$A \models_{\text{LP}} B$$

$$[\![A]\!] = 1 \text{ or } i \Rightarrow [\![B]\!] = 1 \text{ or } i$$

$$\neg ([\![A]\!] = 1 \text{ or } i \wedge [\![B]\!] = 0)$$



$$A \models_{LP} B$$

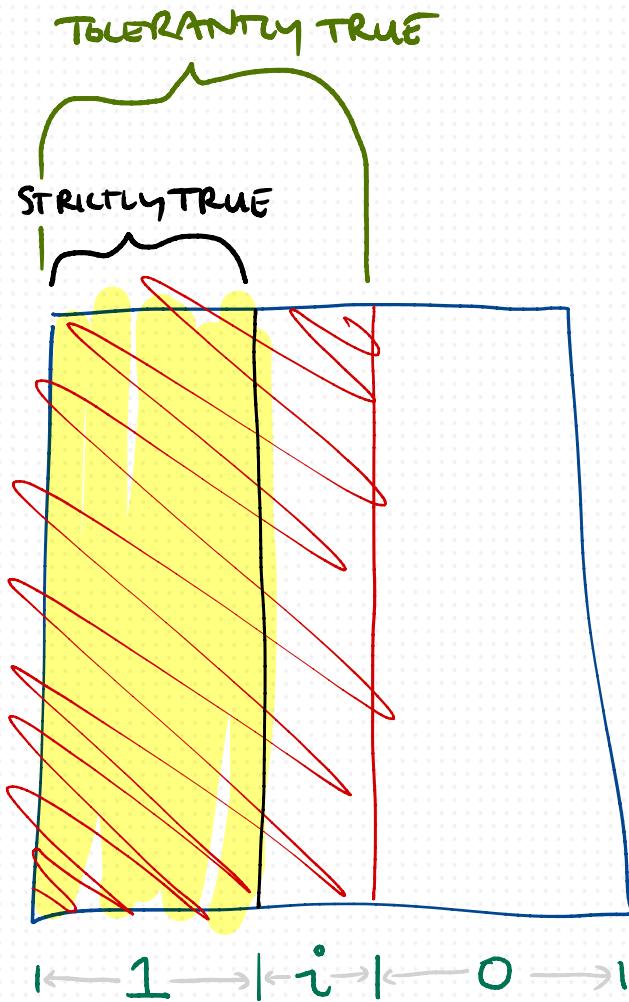
$$[\![A]\!] = 1 \text{ or } i \Rightarrow [\![B]\!] = 1 \text{ or } i$$

$$\neg([\![A]\!] = 1 \text{ or } i \wedge [\![B]\!] = 0)$$

$$A \models_{K3} B$$

$$[\![A]\!] = 1 \Rightarrow [\![B]\!] = 1$$

$$\neg([\![A]\!] = 1 \wedge [\![B]\!] = 0 \text{ or } i)$$


 $A \models_{LP} B$

$$[\![A]\!] = 1 \text{ or } i \Rightarrow [\![B]\!] = 1 \text{ or } i$$

$$\neg([\![A]\!] = 1 \text{ or } i \wedge [\![B]\!] = 0)$$

 $A \models_{ST} B$

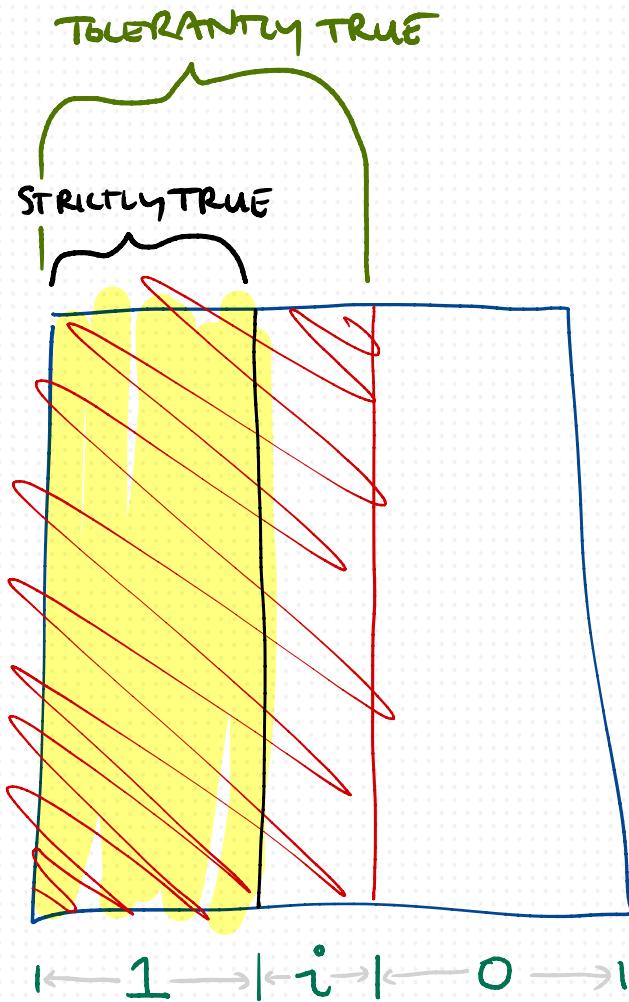
$$[\![A]\!] = 1 \Rightarrow [\![B]\!] = 1 \text{ or } i$$

$$\neg([\![A]\!] = 1 \wedge [\![B]\!] = 0)$$

 $A \models_{K3} B$

$$[\![A]\!] = 1 \Rightarrow [\![B]\!] = 1$$

$$\neg([\![A]\!] = 1 \wedge [\![B]\!] = 0)$$


 $A \models_{LP} B$

$\llbracket A \rrbracket = 1 \text{ or } i \Rightarrow \llbracket B \rrbracket = 1 \text{ or } i$

$\neg(\llbracket A \rrbracket = 1 \text{ or } i \wedge \llbracket B \rrbracket = 0)$

$$\begin{aligned}\llbracket A \rightarrow B \rrbracket &= 1 \text{ or } i \\ \llbracket A \wedge \neg B \rrbracket &\neq 1\end{aligned}$$

 $A \models_{ST} B$

$\llbracket A \rrbracket = 1 \Rightarrow \llbracket B \rrbracket = 1 \text{ or } i$

$\neg(\llbracket A \rrbracket = 1 \wedge \llbracket B \rrbracket = 0)$

$\llbracket A \rightarrow B \rrbracket = 1 \text{ or } i$

 $A \models_{K3} B$

$\llbracket A \rrbracket = 1 \Rightarrow \llbracket B \rrbracket = 1$

$\neg(\llbracket A \rrbracket = 1 \wedge \llbracket B \rrbracket = 0)$

$$\rightarrow \begin{array}{c|cccc} & 0 & i & 1 \\ \hline 0 & 1 & 1 & 1 \\ i & i & i & 1 \\ 1 & 0 & i & 1 \end{array}$$

$$\leftrightarrow \begin{array}{c|ccccc} & 0 & i & 1 \\ \hline 0 & 1 & i & 0 \\ i & i & i & i \\ 1 & 0 & i & 1 \end{array}$$

It is useful to have notions of closeness &
aptness for these three values, corresponding
to the biconditional connective

\approx	0	i	1
0	+	+	-
i	+	+	+
1	-	+	+

\neq	0	i	1
0	-	-	+
i	-	-	-
1	+	-	-

$$0 \approx i \quad i \approx 1$$

$$0 \neq -$$

$A \models_{LP} B$

$$\neg([A] = 1 \text{ or } i \text{ \& } [B] = 0)$$

 $A \models_{ST} B$

$$\neg([A] = 1 \text{ \& } [B] = 0)$$

 $A \models_{K3} B$

$$\neg([\bar{A}] = 1 \text{ \& } [B] = 0 \text{ or } i)$$

 $A \models_{ST} B \text{ iff } A \models_{CL} B$

$A \models_{LP} B$

$$\neg([A] = 1 \text{ or } i \text{ \& } [B] = 0)$$

$\models_{LP} B$ iff $\models_{CL} B$

 $A \models_{ST} B$

$$\neg([A] = 1 \text{ \& } [B] = 0)$$

$A \models_{ST} B$ iff $A \models_{CL} B$

 $A \models_{K3} B$

$$\neg([\bar{A}] = 1 \text{ \& } [\bar{B}] = 0 \text{ or } i)$$

$A \models_{K3}$ if $A \models_a$

$A \models_{LP} B$

$$\neg([A] = 1 \text{ or } i \& [B] = 0)$$

$\models_{LP} B$ iff $\models_{CL} B$

 $A \models_{ST} B$

$$\neg([A] = 1 \& [B] = 0)$$

$A \models_{ST} B$ iff $A \models_{CL} B$

 $A \models_{K3} B$

$$\neg([\bar{A}] = 1 \& [B] = 0 \text{ or } i)$$

$A \models_{K3}$ if $A \models_a$

$$\frac{A \models_{ST} B \quad B \models_{ST} C}{A \models_{ST} C}$$

Admissible for the
logical vocabulary

$A \models_{LP} B$

$$\neg([A] = 1 \text{ or } i \& [B] = 0)$$

$\models_{LP} B$ iff $\models_{CL} B$

 $A \models_{ST} B$

$$\neg([A] = 1 \& [B] = 0)$$

$A \models_{ST} B$ iff $A \models_{CL} B$

 $A \models_{K3} B$

$$\neg([A] = 1 \& [B] = 0 \text{ or } i)$$

$A \models_{K3}$ if $A \models_a$

$$\frac{A \models_{ST} B \quad B \models_{ST} C}{A \models_{ST} C}$$

Admissible for the
logical vocabulary

Extend the language
with a formula λ
whose $[\lambda] = i$

$$T \models_{ST} \lambda \quad \lambda \models_{ST} \perp \quad T \not\models_{ST} \perp$$

But not a principle
for all ST theories!

$$X, A \vdash A, Y$$

$$\frac{X, A, B \vdash Y}{X, A \wedge B \vdash Y} \wedge L$$

$$\frac{X \vdash A, Y}{X, \neg A \vdash Y} \neg L$$

$$\frac{X \vdash A, Y \quad X \vdash B, Y}{X \vdash A \vee B, Y} \vee L$$

$$\frac{X \vdash A, Y \quad X \vdash B, Y}{X \vdash A \wedge B, Y} \wedge R$$

$$\frac{X, A \vdash Y}{X \vdash \neg A, Y} \neg R$$

$$\frac{X \vdash A, B, Y}{X \vdash A \vee B, Y} \vee R$$

$$\frac{X, A(t) \vdash Y}{X, \forall x A(x) \vdash Y} \forall L$$

$$\frac{X \vdash A(t), Y}{X \vdash \exists x A(x), Y} \exists R$$

$$\frac{X \vdash A(n), Y}{X \vdash \forall x A(x), Y} \forall R$$

$$\frac{X, A(n) \vdash Y}{X, \exists x A(x) \vdash Y} \exists L$$

$$\frac{X, A, B \vdash Y}{X, A \wedge B \vdash Y} \wedge L$$

If $X, A \wedge B \not\vdash_{sc} Y$ then $X, A, B \not\vdash_{sc} Y$

If $\llbracket A \wedge B \rrbracket = 1$ then $\llbracket A \rrbracket = \llbracket B \rrbracket = 1$.

$$\frac{X, A, B \vdash Y}{X, A \wedge B \vdash Y} \wedge L$$

If $X, A \wedge B \not\vdash_{st} Y$ then $X, A, B \not\vdash_{st} Y$

If $\llbracket A \wedge B \rrbracket = 1$ then $\llbracket A \rrbracket = \llbracket B \rrbracket = 1$.

$$\frac{X \vdash A, Y \quad X \vdash B, Y}{X \vdash A \wedge B, Y} \wedge R$$

If $X \not\vdash_{st} A \wedge B, Y$ then $X \not\vdash_{st} A, Y$ or $X \not\vdash_{st} B, Y$

If $\llbracket A \wedge B \rrbracket = 0$ then $\llbracket A \rrbracket = 0$ or $\llbracket B \rrbracket = 0$.

What about Identity?

Why not take classical sequent rules for identity &
see what these mean for ST-models?

Which rules?

$$\frac{X, Fa \vdash Fb, Y \quad X, Fb \vdash Fa, Y}{X \vdash a = b, Y} = Df$$

Which rules?

$$\frac{\cancel{X, f_a \vdash f_b, y} \quad \cancel{X, f_b \vdash f_a, y}}{X \vdash a = b, y} = Df$$

$$\frac{X, f_a \vdash f_b, y \quad X, f_b \vdash f_a, y}{X \vdash a = b, y} = R$$

$$\frac{X \vdash A(a), y \quad X, A(b) \vdash y}{X, a = b \vdash y} = L$$

$$\frac{X \vdash A(b), y \quad X, A(a) \vdash y}{X, a = b \vdash y} = L$$

Which rules?

$$\frac{\cancel{X, F_a \vdash F_b, Y} \quad \cancel{X, F_b \vdash F_a, Y}}{X \vdash a = b, Y} = Df$$

$$\frac{\cancel{X, F_a \vdash F_b, Y} \quad \cancel{X, F_b \vdash F_a, Y}}{X \vdash a = b, Y} = R$$

$$\frac{\cancel{X \vdash A(a), Y} \quad \cancel{X, A(b) \vdash Y}}{X, a = b \vdash Y} = L$$

$$\frac{\cancel{X \vdash A(b), Y} \quad \cancel{X, A(a) \vdash Y}}{X, a = b \vdash Y} = L$$

$$\vdash a = a \quad (\text{Refl})$$

$$\frac{X \vdash A(a), Y}{X, a = b \vdash A(b), Y} = L$$

$$\frac{X, A(a) \vdash Y}{X, a = b, A(b) \vdash Y} = L$$

3. The 'Weakest' Rules for Identity

IDENTITY Axioms

$$\vdash a = a$$

$$a = b, F_a \succ F_b$$

$$a = b, F_b \succ F_a$$

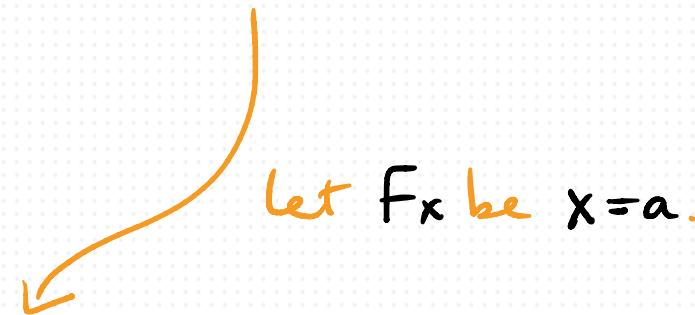
Here, F is any predicate of any arity

IDENTITY Axioms

$$\vdash a = a$$

$$a = b, F_a \vdash F_b$$

$$a = b, F_b \vdash F_a$$



$$\frac{\vdash a = a \quad a = b, a = a \vdash b = a}{a = b \vdash b = a} \text{ cut}$$

let F_x be $x = a$.

IDENTITY Axioms

$$\vdash a = a$$

$$a = b, F_a \vdash F_b$$

$$a = b, F_b \vdash F_a$$

$$\frac{\vdash a = a \quad a = b, a = a \vdash b = a}{a = b \vdash b = a} \text{ cut}$$

$$\frac{\frac{\frac{a = b, F_a \vdash F_b \quad b = c, F_b \vdash F_c \quad d = c, F_c \vdash F_d}{b = c, d = c, F_b \vdash F_d} \text{ cut}}{a = b, b = c, d = c, F_a \vdash F_d} \text{ cut}}{a = b, b = c, d = c, F_a \vdash F_d}$$

$$X, I_b^a \vdash a = b, Y$$
$$X, I_b^a, Fa \vdash Fb, Y$$

I_b^a is any set of identity statements linking a to b .

$$X, I_b^a \vdash a = b, Y$$

$$X, I_b^a, F_a \vdash F_b, Y$$

I_b^a is any set of identity statements linking a to b .

- \emptyset links a to a for all a .
- If X links a to b , $a = c, X$ & $c = a, X$ links b to c ,
 $b = c, X$ & $c = b, X$ links a to c ,
(as well as linking all pairs linked by X)

$$X, I_b^a \vdash a = b, Y$$

$$X, I_b^a, Fa \vdash Fb, Y$$

I_b^a is any set of identity statements linking a to b .

- These axioms are classically valid.
- If you add them to the sequent rules for first order predicate logic, the resulting system is complete & cut is admissible.

$$X, I_b^a \vdash a = b, Y$$

$$X, I_b^a, Fa \vdash Fb, Y$$

I_b^a is any set of identity statements linking a to b .

What do ST-models for these axioms look like?

$$X, I_b^a \vdash a = b, Y$$

$$X, I_b^a, Fa \vdash Fb, Y$$

I_b^a is any set of identity statements linking a to b .

What do ST-models for these axioms look like?

- $\llbracket I_b^a \rrbracket = 1$ iff $\llbracket a \rrbracket \neq \llbracket b \rrbracket$ are strictly connected.
ie, either $\llbracket a \rrbracket = \llbracket b \rrbracket$, or some sequence of identity statements linking $a \neq b$ are strictly true.

$$X, I_b^a \vdash a = b, Y$$

$$X, I_b^a, Fa \vdash Fb, Y$$

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ie, either $\llbracket a \rrbracket = \llbracket b \rrbracket$, or some sequence of identity statements linking $a \neq b$ are strictly true.
- If $\llbracket a \rrbracket \neq \llbracket b \rrbracket$ are strictly connected, then $\llbracket a = b \rrbracket \neq 0$.

$$X, I_b^a \vdash a = b, Y$$

$$X, I_b^a, Fa \vdash Fb, Y$$

I_b^a is any set of identity statements linking a to b .

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- If $\llbracket a \rrbracket \neq \llbracket b \rrbracket$ are strictly connected, then $\llbracket a = b \rrbracket \neq 0$.
- If $\llbracket a \rrbracket \neq \llbracket b \rrbracket$ are strictly connected, then $\llbracket Fa \rrbracket \approx \llbracket Fb \rrbracket$.

What is the logic of such models?

$$X \models_{\text{ST}} Y$$

iff

$$X \models_{\text{cl}} Y$$

What is the logic of such models?

$$\vdash_{LP} Y$$

iff

$$\vdash_{CC} Y$$

$$X \vdash_{ST} Y$$

iff

$$X \vdash_{CL} Y$$

$$X \vdash_{K3} Y$$

iff

$$X \vdash_{K3} Y$$

4. Example Three-Valued Models

- If $[a] \neq [b]$ are strictly connected, then $[a = b] \neq 0$.
- If $[a] \neq [b]$ are strictly connected, then $[F_a] \approx [F_b]$.

Strict Identity Models

$[=]$	d_1	d_2	\dots	d_i	
d_1	1	0	\dots	0	- -
d_2	0	1			.
\vdots	\vdots	\ddots		\vdots	
d_i	0	\dots	\dots	1	-
	\vdots			\vdots	

- If $[a] \neq [b]$ are strictly connected, then $[a = b] \neq 0$.
- If $[a] \neq [b]$ are strictly connected, then $[Fa] \approx [Fb]$.

Lax Identity Models

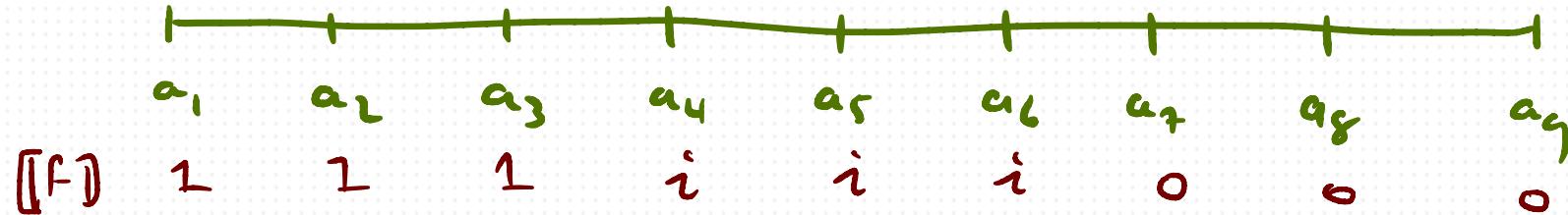
$[=]$	d_1	d_2	\dots	d_i
d_1	i	i	\dots	i
d_2	i	i	\dots	i
\vdots	\vdots	\vdots	\ddots	\vdots
d_i	i	i	\dots	i
\vdots	\vdots	\vdots	\ddots	\vdots

- If $[a] \neq [b]$ are strictly connected, then $[a = b] \neq 0$.
- If $[a] \neq [b]$ are strictly connected, then $[F_a] \approx [F_b]$.

In General...

$[=]$	d_1	d_2	\dots	d_i	
d_1	$i/1$?	\dots	?	- -
d_2	?	$i/1$			
\vdots	\vdots	\ddots		\ddots	
d_i	?	\dots	\dots	$i/1$	-
	\vdots			\vdots	\ddots

- If $[a] \neq [b]$ are strictly connected, then $[a = b] \neq 0$.
 - If $[a] \neq [b]$ are strictly connected, then $[Fa] \approx [Fb]$.



Symmetry “failures”

$[=]$	a	b
a		i
b	o	

Compatible with
any predicates
on $D = \{a, b\}$

Symmetry “failures”

$[=]$	a	b
a		i
b	o	

$[=]$	a	b
a		
b	i	

Compatible with
any predicates
on $D = \{a, b\}$

Requires $[Fa] \approx [Fb]$
for every predicate F.

5. Strengthening the rules.

Stronger Indiscernibility Rules

$$\frac{X, F_a \succ \gamma}{X, a=b, F_b \succ \gamma} = \sqsubset$$

If $\llbracket a=b \rrbracket = 1 \notin \llbracket F_b \rrbracket = 1$ then $\llbracket F_a \rrbracket = 1$

Stronger Indiscernibility Rules

$$\frac{X, F_a \succ \gamma}{X, a=b, F_b \succ \gamma} = \sqsubset$$

If $\llbracket a=b \rrbracket = 1 \notin \llbracket F_b \rrbracket = 1$ then $\llbracket F_a \rrbracket = 1$

$$\frac{X, F_b \succ \gamma}{X, a=b, F_a \succ \gamma} = \sqsubset$$

If $\llbracket a=b \rrbracket = 1 \notin \llbracket F_a \rrbracket = 1$ then $\llbracket F_b \rrbracket = 1$

$$\frac{X \succ F_a, \gamma}{X, a=b \succ F_b, \gamma} = \sqsubset$$

If $\llbracket a=b \rrbracket = 1 \notin \llbracket F_b \rrbracket = 0$, then $\llbracket F_a \rrbracket = 0$

$$\frac{X \succ F_b, \gamma}{X, a=b \succ F_a, \gamma} = \sqsubset$$

If $\llbracket a=b \rrbracket = 1 \notin \llbracket F_a \rrbracket = 0$, then $\llbracket F_b \rrbracket = 0$

Symmetry

$$\frac{X, a=b \vdash Y}{X, b=a \vdash Y} = Swap_L \quad \text{If } [(b=a)] = 1 \text{ then } [a=b] = 1$$

$$\frac{X \vdash a=b, Y}{X \vdash b=a, Y} = Swap_R \quad \text{If } [(b=a)] = 0 \text{ then } [a=b] = 0$$

LP-style Indiscernibility

If $\llbracket Fb \rrbracket = 0$ then either
 $\llbracket a = b \rrbracket = 0$ or $\llbracket Fa \rrbracket = 0$

$$\frac{X \vdash a = b, Y \quad X \vdash Fa, Y}{X \vdash Fb, Y} = \text{LPI}$$

LP-style Indiscernibility

$$\frac{X \vdash a = b, Y \quad X \vdash F_a, Y}{X \vdash F_b, Y} = \text{LPI}$$

If $\llbracket F_b \rrbracket = 0$ then either
 $\llbracket a = b \rrbracket = 0$ or $\llbracket F_a \rrbracket = 0$

If $\llbracket a = b \rrbracket = 1$ or $i \neq$
 $\llbracket F_a \rrbracket = 1$ or i , then
 $\llbracket F_b \rrbracket = 1$ or i .

A 'Drop' Rule

$$\frac{X, a=a \vdash Y}{X \vdash Y} = \text{Drop} \quad [a=a] = 1$$

There is plenty more here for you to explore. The logic-agnostic (or pluralist) perspective on models gives us a number of new tools for developing distinctive three-valued models for identity.

