Generality & Existence II Modality & Quantifiers

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To analyse the quantifiers

To analyse the *quantifiers* (including their interactions with *modals*)

To analyse the *quantifiers* (including their interactions with *modals*) using the tools of *proof theory*

To analyse the quantifiers (including their interactions with modals) using the tools of proof theory in order to better understand quantification, existence and modality.

Sequents & Defining Rules Hypersequents & Defining Rules Quantification & the Barcan Formula Positions & Models **Consequences & Questions**

SEQUENTS ජ DEFINING RULES



$\Gamma\succ\Delta$

Don't assert each element of Γ and deny each element of Δ .

Identity: $A \succ A$









Structural rules govern declarative sentences as such.

Giving the Meaning of a Logical Constant

With Left/Right rules?

$$\frac{\Gamma, A, B \succ \Delta}{\Gamma, A \land B \succ \Delta} [\land L] \qquad \frac{\Gamma \succ A, \Delta \quad \Gamma \succ B, \Delta}{\Gamma \succ A \land B, \Delta} [\land R]$$

Giving the Meaning of a Logical Constant

What is involved in going from \mathcal{L} to \mathcal{L}' ?

Use $\succ_{\mathcal{L}}$ to define $\succ_{\mathcal{L}'}$.

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Desideratum #1: $\succ_{\mathcal{L}'}$ is conservative: $(\succ_{\mathcal{L}'})|_{\mathcal{L}}$ is $\succ_{\mathcal{L}}$.

What is involved in going from \mathcal{L} to \mathcal{L}' ?

Use $\succ_{\mathcal{L}}$ to define $\succ_{\mathcal{L}'}$.

Desideratum #1: $\succ_{\mathcal{L}'}$ is conservative: $(\succ_{\mathcal{L}'})|_{\mathcal{L}}$ is $\succ_{\mathcal{L}}$.

Desideratum #2: Concepts are defined *uniquely*.

A Defining Rule



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 $\frac{\Gamma, A, B \succ \Delta}{\overline{\Gamma A \land B \succ \Delta}} [\land Df]$

Fully specifies norms governing conjunctions on the *left* in terms of simpler vocabulary.

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Fully specifies norms governing conjunctions on the *left* in terms of simpler vocabulary.

Identity and *Cut* determine the behaviour of conjunctions on the *right*.



$$\frac{\Gamma \succ B, \Delta}{\Gamma \succ A, \Delta} \frac{ \overbrace{\Gamma \succ B, \Delta}^{[Id]} }{ \overbrace{\Gamma, A \succ A \land B, \Delta}^{[A \land B \succ A \land B} }_{[Cut]} [Cut] }_{[Cut]}$$



$$\frac{\Gamma \succ B, \Delta}{\Gamma \succ A, \Delta} \frac{ \overbrace{\Gamma \succ B, \Delta}^{[Id]} }{ \overbrace{\Gamma, A \succ A \land B, \Delta}^{[A \land B \succ A \land B} }_{[Cut]} [Cut] }_{[Cut]}$$

$$\frac{\overline{\Gamma \succ B, \Delta} \quad \overline{A \land B \succ A \land B}^{[Id]} \quad [\Lambda \land Df]}{\Gamma \succ A, \Delta \quad \overline{\Gamma, A \succ A \land B, \Delta}}_{[Cut]}$$

$$\frac{\Gamma \succ A, \Delta \quad \Gamma \succ B, \Delta}{\Gamma \succ A \land B, \Delta} [\land R]$$

And Back

$$\frac{A \succ A \quad B \succ B}{A, B \succ A \land B} \stackrel{[\land R]}{\longrightarrow} \Gamma, A \land B \succ \Delta}_{\Gamma, A, B \succ \Delta} [Cut]$$

This works for more than the usual logical constants

I want to see how this works for modal operators, and examine their interaction with the quantifiers.

Why this is important

Explaining *why* the modal operators have the logical properties they exhibit is an open question.

... possible worlds, in the sense of possible states of affairs are not really individuals (just as numbers are not really individuals).

To say that a state of affairs obtains is just to say that something is the case; to say that something is a possible state of affairs is just to say that something could be the case; and to say that something is the case 'in' a possible state of affairs is just to say that the thing in question would necessarily be the case if that state of affairs obtained, i.e. if something else were the case ... We understand 'truth in states of affairs' because we understand

affairs' because we understand 'necessarily'; not *vice versa*. — "Worlds, Times and Selves" (1969)

I agree with Prior...

Why is it that modal concepts (which are conceptually prior to worlds) have a structure that fits possible worlds models?

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- Why is it that modal concepts (which are conceptually prior to worlds) have a structure that fits possible worlds models?
- (Why does possibility distribute of disjunction, necessity over disjunction? Why do many modalities work in the way modelled by normal modal logics?)
- ▶ If modality is *primitive* we have no explanation.
- If modality is governed by the rules introduced here, then we can see why possible worlds are useful, and model the behaviour of modal concepts.

HYPERSEQUENTS
Suppose it's necessary that p and necessary that q.

Suppose it's necessary that p and necessary that q. Is it necessary that both p and q?

Suppose it's necessary that p and necessary that q. Is it necessary that both p and q? Could we *avoid* p and q?

Suppose it's necessary that p and necessary that q. Is it necessary that both p and q? Could we *avoid* p and q? Consider any way it could go:

Suppose it's necessary that p and necessary that q. Is it necessary that both p and q? Could we *avoid* p and q? Consider any way it could go: Since it's necessary that p, here we have p.

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Suppose it's necessary that p and necessary that q. Is it necessary that both p and q? Could we *avoid* p and q? Consider any way it could go: Since it's necessary that p, here we have p. Since it's necessary that q, here we have q. So, we have both p and q. Suppose it's necessary that p and necessary that q. Is it necessary that both p and q? Could we avoid p and q? Consider any way it could go: Since it's necessary that p, here we have p. Since it's necessary that q, here we have q. So, we have both p and q. So, no matter how things go, we have p and q.

Suppose it's necessary that p and necessary that q. Is it necessary that both p and q? Could we avoid p and q? Consider any way it could go: Since it's necessary that p, here we have p. Since it's necessary that q, here we have q. So, we have both p and q. So, no matter how things go, we have p and q. So the conjunction p and q is necessary.

















$\Box p, \Box q \succ | \rightarrow p \land q$

Don't assert $\Box p$ and $\Box q$ in one 'zone' and deny $p \land q$ in another.

Hypersequents

$\Gamma \succ \Delta \mid \Gamma' \succ \Delta'$

Don't assert each member of Γ and deny each member of Δ in one 'zone' and assert each member of Γ ' and deny each member of Δ ' in another.

INDICATIVE: suppose I'm wrong and that...

SUBJUNCTIVE: suppose things go differently. or *had gone* differently.





Suppose Oswald *didn't* shoot JFK.



Suppose Oswald *didn't* shoot JFK.

 Suppose Oswald hadn't shot JFK.



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STEREOSCOPIC VISION:

Persons, Freedom, and Two Spaces of Material Inference

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© 2007 Mark Lance & W. Heath White <www.philosophersimprint.org/007004/> $\label{eq:Freedom, oh freedom, well that's just some people talkin'. \\ - \mbox{The Eagles}$

What is A PERSON, as opposed to a non-person? One might begin to address the question by appealing to a second distinuit distribution by appealing to a second disfreely and intentionally, and mere patients, caught up in events but in no sense authors of the happenings involving them. An alternative way to address the question appeals to a third distinction: between subjects – bearers of rights and responsibilities, commitments and entitlements, makers of claims, thinkers of thoughts, issuers of orders, and posers of questions – and mere objects, graspable or evaluable by subjects but themselves graspers or evaluators.

We take it as a methodological point of departure that these three distinctions are largely coextensive, indeed coextensive in conceptually central cases. Granted, these distinctions can come apart. One might think that 'person' applies to anything that is worthy of a distinctive sort of moral respect and think this applicable to some fetuses or the deeply infirm elderly. Even if the particular respect due such beings is importantly different from 'what we owe each other', such respect could still be thought to be of the kind distinctively due people, and think this even while holding that such people lack agentive or subjective capacity. Similarly, one might think dogs or various severely impaired humans to be attenuated subjects but not agents.

Without taking any particular stand on such examples, our methodological hypothesis is that such cases, if they exist, are understood as persons (agents, subjects) essentially by reference to paradigm cases and, indeed, to a single paradigm within which person/non-person, subject/object, and agent/patient are conceptually connected.¹ Stated

 For one detailed development of this sort of paradigm-riff structure, and a defense of the possibility of concepts essentially governed by such a structure, see Lance and Little (2004). Discussions with Hilda Lindeman have helped

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- We do many different and strange things in our messy zone-shifting practices, but we can isolate a particular convention or practice, idealise it, to see what we could do following those rules.
 - (Analogies: ∀x from first order logic and natural language's 'all.' Frictionless planes. etc.)

Example Subjunctive Shifts

Oswald *did* shoot JFK, but suppose he *hadn't*? How would history have gone differently then?

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 $[oSk :]_{@} | [@oSk : oSk]$

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 $[oSk :]_{@} \mid [@oSk : oSk]$

We open up a zone for consideration, in which we deny oSk, while keeping track of the initial zone where we assert it.

(And if we like, we can assert @oSk in the zone under the counterfactual supposition.)

Disagreement and Indicative Shifting

I think that Oswald shot JFK, but you don't.

I consider what it would mean for you to be right.

If you're right, Oswald actually didn't shoot JFK.

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$$[oSk:]_{@}$$
 $(:oSk]_{@}$

Indicative Shifting

I think that Hesperus is Phosphorous, but I recognise that *you don't*. I don't take *you* to be *inconsistent* or misusing names.

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We *don't* have this:

$$a = b \succ$$
 Fa \succ Fb

It's coherent for you to assert Fa and deny Fb even if I take it that a = b, and it's coherent for me to consider an alternative in which $a \neq b$ even if I don't agree.
Let's take any zone found by indicatively shifting from here (or from anywhere from here, etc.) to be an alternative indicative context from any *other* context indicatively shifted from here. Let's take any zone found by indicatively shifting from here (or from anywhere from here, etc.) to be an alternative indicative context from any *other* context indicatively shifted from here.

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- Let's take any zone found by indicatively shifting from here (or from anywhere from here, etc.) to be an alternative indicative context from any *other* context indicatively shifted from here.
- (And each are *actual* zones.)
- This is as *liberal* as possible about what counts as an *alternative* from any alternative zone.
- This gives us a motivation for a richer family of hypersequents.

Two Dimensional Hypersequents

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Think of these as *scorecards*, keeping track of assertions and denials.

$\mathcal{H}[\Gamma \succ \Delta]$

$\mathcal{H}[\Gamma' \succ \Delta']$

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$\mathcal{H}[\Gamma \succ \Delta \ | \ \Gamma' \succ \Delta']$

$\mathcal{H}[\Gamma' \succ \Delta']$

$\mathcal{H}[\Gamma \succ \Delta \ | \ \Gamma' \succ \Delta']$

$\mathcal{H}[\Gamma \succ \Delta \ \| \ \Gamma' \succ \Delta']$

Defining Rule for \Box

 $\frac{\mathcal{H}[\Gamma \succ \Delta \mid \succ A]}{\mathcal{H}[\Gamma \succ \Box A, \Delta]} \ [\Box Df]$

Defining Rule for @

 $\frac{\mathcal{H}[\Gamma, A \succ_{@} \Delta \mid \Gamma' \succ \Delta']}{\mathcal{H}[\Gamma \succ_{@} \Delta \mid \Gamma', @A \succ \Delta']} [@Df]$

Defining Rule for [e]

 $\frac{\mathcal{H}[\Gamma \succ \Delta \parallel \rightarrow_{\textcircled{0}} A]}{\mathcal{H}[\Gamma \succ [e]A, \Delta]} \ [[e]Df]$

Example Derivation



QUANTIFICATION & THE BARCAN FORMULA

The Standard Quantifier Rules

$$\frac{\Gamma \succ A(n), \Delta}{\Gamma \succ (\forall x) A(x), \Delta} \ [\forall Df] \qquad \frac{\Gamma, A(n) \succ \Delta}{\overline{\Gamma, (\exists x) A(x) \succ \Delta}} \ [\exists Df]$$

Deriving the Barcan Formula



Where the derivation breaks down



Where the derivation breaks down



Where the derivation breaks down



Pro and Con attitudes to Terms

To rule a term *in* is to take it as suitable to substitute into a quantifier, i.e., to take the term to *denote*.

To rule a term *out* is to take it as unsuitable to substitute into a quantifier, i.e., to take the term to *not denote*.

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We add terms to the LHS and RHS of sequents $\Gamma \succ \Delta.$

Structural Rules remain as before



Here X is either a sentence or a term.

... and there are some more

$$\begin{array}{ll} \textit{Ext. Weak.:} & \frac{\mathcal{H}[\Gamma \succ \Delta]}{\mathcal{H}[\Gamma \succ \Delta \mid \Gamma' \succ \Delta']} & \frac{\mathcal{H}[\Gamma \succ \Delta]}{\mathcal{H}[\Gamma \succ \Delta \mid \Gamma' \succ \Delta']} \\ \textit{Ext. Contr.:} & \frac{\mathcal{H}[\Gamma \succ \Delta \mid \Gamma \succ \Delta]}{\mathcal{H}[\Gamma \succ \Delta]} & \frac{\mathcal{H}[\mathcal{S} \mid \mathcal{S}]}{\mathcal{H}[\mathcal{S}]} \end{array}$$

Quantifier Rules, allowing for non-denoting terms

 $\frac{\mathcal{H}[\Gamma, n \succ A(n), \Delta]}{\mathcal{H}[\Gamma \succ (\forall x)A(x), \Delta]} \ [\forall Df]$



$(\forall x) \Box Fx \succ \Box (\forall x) Fx$

$(\forall x) \Box Fx \succ \Box (\forall x)Fx \mid \succ (\forall x)Fx$

$(\forall x) \Box Fx \succ \Box (\forall x) Fx \mid \mathbf{b} \succ F\mathbf{b}, (\forall x) Fx$

$(\forall x) \Box \mathsf{F} x \succ b, \mathsf{F} b, \Box (\forall x) \mathsf{F} x \ | \ b \succ \mathsf{F} b, (\forall x) \mathsf{F} x$

a, $(\forall x) \Box Fx \succ b$, Fb, $\Box(\forall x)Fx \mid a, b \succ Fb$, $(\forall x)Fx$

$a, \Box Fa, (\forall x) \Box Fx \succ b, Fb, \Box (\forall x)Fx \mid a, b \succ Fb, (\forall x)Fx$

$a, Fa, \Box Fa, (\forall x) \Box Fx \succ b, Fb, \Box (\forall x)Fx \mid a, b, Fa \succ Fb, (\forall x)Fx$

a, Fa, \Box Fa, $(\forall x) \Box$ Fx \succ b, Fb, $\Box(\forall x)$ Fx $\mid a, b, Fa \succ$ Fb, $(\forall x)$ Fx

a, Fa, \Box Fa, $(\forall x) \Box$ Fx \succ b, Fb, $\Box(\forall x)$ Fx $\mid a, b, Fa \succ$ Fb, $(\forall x)$ Fx This hypersequent is underivable...

a, Fa, \Box Fa, $(\forall x)\Box$ Fx \succ b, Fb, $\Box(\forall x)$ Fx $\mid a, b, Fa \succ$ Fb, $(\forall x)$ Fx This hypersequent is underivable... ...and it's fully refined.

POSITIONS & MODELS
Positions

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Positions

- A *finite* position is an underivable hypersequent.
- An arbitrary position is a set (*indicative* alternatives) of sets (*subjunctive* alternatives) of pairs of sets of formulas or terms (*components*), where one component in each indicative alternative is marked with an @.

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 - ► If (∀x)A(x) is in the LHS of a component, so is A(t) for every term t in the LHS of that component.

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 - ► If $(\forall x)A(x)$ is in the RHS of a component, so is A(t) for some term t in the LHS of that component.

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 - ► If $(\forall x)A(x)$ is in the RHS of a component, so is A(t) for some term t in the LHS of that component.
 - ► If □A is in the LHS of a component, A is in the LHS of every subjunctive alternative of that component.

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 - ► If □A is in the LHS of a component, A is in the LHS of every subjunctive alternative of that component.
 - ► If □A is in the RHS of a component, A is in the RHS of some subjunctive alternative of that component.

Models

Fully refinied positions are examples of *models*, with variable domains and the expected truth conditions for the connectives, quantifiers and modal operators.

Soundness and Completeness

Any derivable hypersequent (using *Cut*) holds in all models.

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- Any derivable hypersequent (using *Cut*) holds in all models.
- Any hypersequent that cannot be derived (without *Cut*) can be extended into a fully refined position.
- That fully refined position determines a model in which the hypersequent does not hold.
- So the models are adequate for the logic.
- And in the logic, the cut rule is admissible in the cut-free system.

CONSEQUENCES ජ QUESTIONS

Principled, Motivated Ersatzism

The structure of modal concepts is explained in terms of the rules for their use.

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Worlds (and their domains) are idealised positions.

Coherent, Well Behaved Contingentism

Since positions can vary in what terms are ruled in or out, the domain of (inner) quantification varies in a well behaved manner.

Inner and Outer Quantification

'Outer' quantification is an issue for contingentism. On most approaches to contingentism, it can be *defined*.

Inner and Outer Quantification

'Outer' quantification is an issue for contingentism. On most approaches to contingentism, it can be *defined*. This proof theoretical semantics is no different in that regard....

We have Outer Quantification

$$\frac{\mathcal{H}(n \succ \mid \Gamma \succ A(n), \Delta)}{\mathcal{H}(\Gamma \succ (\forall^{\Diamond} x) A(x), \Delta)} \ [\forall^{\Diamond} Df] \qquad \frac{\mathcal{H}(n \succ \mid \Gamma, A(n) \succ \Delta)}{\mathcal{H}(\Gamma, (\exists^{\Diamond} x) A(x) \succ \Delta)} \ [\exists^{\Diamond} Df]$$

for which the substituted term need be defined in some zone.

The Barcan Formula is Derivable

$$\frac{ (\forall^{\Diamond} x) \Box A(x) \succ (\forall^{\Diamond} x) \Box A(x)}{n \succ | (\forall^{\Diamond} x) \Box A(x) \succ \Box A(n)} [\forall^{\Diamond} Df] \\ \frac{}{n \succ | (\forall^{\Diamond} x) \Box A(x) \succ | \succ A(n)} \frac{[\Box Df]}{[\forall^{\Diamond} Df]} \\ \frac{ (\forall^{\Diamond} x) \Box A(x) \succ | \succ (\forall^{\Diamond} x) A(x)}{(\forall^{\Diamond} x) \Box A(x) \succ [\forall^{\Diamond} x) A(x)} [\Box Df]$$

But we also have Way Out Quantification

$$\frac{\mathcal{H}(\Gamma \succ A(n), \Delta)}{\mathcal{H}(\Gamma \succ (\Pi x)A(x), \Delta)} \ [\Pi Df] \qquad \frac{\mathcal{H}(\Gamma, A(n) \succ \Delta)}{\mathcal{H}(\Gamma, (\Sigma x)A(x) \succ \Delta)} \ [\Sigma Df]$$

for which the term need not be defined anywhere.

Higher Order Contingentism?

$\forall X \Box \varphi(X) \succ \Box \forall X \varphi(X)$

Higher Order Contingentism?

$\forall X \Box \varphi(X) \succ \Box \forall X \varphi(X)$

What could it mean to rule a *predicate* in or out?

THANK YOU!

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